

# OPEN A PERSONAL FOREIGN EXCHANGE ACCOUNT

TO OPEN AN ACCOUNT YOU SIMPLY NEED TO COMPLETE THE ACCOUNT OPENING FORM BELOW AND READ AND SIGN THE TERMS & CONDITIONS. PLEASE COMPLETE THIS FORM IN BLOCK CAPITALS AND BLACK INK. OUR REGULATOR REQUIRES THAT WE OBTAIN THIS INFORMATION AND WE ARE UNABLE TO OPEN YOUR ACCOUNT WITHOUT IT. IF YOU MAKE A MISTAKE, PLEASE CORRECT IT AND INITIAL YOUR CORRECTION. ANY APPLICATIONS RECEIVED THAT ARE NOT COMPLETED CORRECTLY MAY INCUR DELAYS OR COULD BE RETURNED TO YOU. THE INFORMATION PROVIDED BY YOU WILL BE TREATED IN THE STRICTEST CONFIDENCE.

<b>1. Personal Information</b>		
Title:	Surname:	Nationality:
DOB:	Forenames:	Country of Residence:
Permanent residential Address:		Previous address (if less than 3 years at current address):
No. of years at current address:		Occupation/Employer:
Email:	Telephone/Mobile:	

<b>2. Account Information</b>
Which Currencies are you likely to transact?
Frequency of transactions? ( <input type="checkbox"/> Daily / <input type="checkbox"/> Weekly / <input type="checkbox"/> Monthly )
How much do you think you will send or receive in the next 12 months?
Reason for trading?

<b>3. Currency Requirement</b>		
Currency Required:	Amount Required:	Destination Country:
Any Additional Requirements?		

<b>4. Source of your funds</b>
Please tick all that apply and we may ask you to provide any relevant information to substantiate the source of your wealth. Failure to answer this question in sufficient detail may result in a delay or prevent the opening of the account.
Employment <input type="checkbox"/> Inheritance <input type="checkbox"/> Savings/Investments <input type="checkbox"/> Sale of Property/ Business <input type="checkbox"/> Income <input type="checkbox"/>
Other (please specify): _____
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## 5. Supporting Documentation

Please note Equitrade FX is required to undertake checks on identity. We are unable to open your account without verifying your identity. We may request further supporting documents from you to verify your identity and residential address. We do not accept online bills or statements. ECL also reserves the right to request further documentation at any time during the approval process. Copy documents should be certified "Original seen and true likeness" by an appropriate person (Doctor, Lawyer, Accountant, Staff of Equitrade FX who have seen the originals). The person certifying must be contactable, please provide their telephone number and address. Statements, bills etc must not be more than 3 months old. If you require further guidance on the identification requirements, please contact us on 0121 454 0770.

### ADDRESS VERIFICATION DOCUMENTS

- Bank/building society/credit union statement or passbook.
- Council tax bill within the last 3 months
- Utility bill (not mobile phone) dated within the last 3 months
- Mortgage statement
- Current full UK driving licence (not if used to confirm identity)
- HMRC tax notification (not if used to confirm identity)
- UK Disabled Parking document (must show your address, signature and photograph)
- Current full UK driving licence (cannot be used for both address and identity)

### IDENTITY VERIFICATION DOCUMENTS

- Current full passport
- Current National Identity card
- Current full UK driving licence
- HM Revenue & Customs tax notification
- Firearms certificate
- State pension or benefits book/letter on official headed paper confirming your right to UK benefits from:
  - Benefits agency
  - Department of Work and Pensions (including Jobcentre Plus)
  - Child Benefit Office
  - Veterans Agency
- Letter from a person in a position of authority – this could be a Solicitor or General Practitioner

## 6. Declaration (Please tick each declaration and sign below)

- I confirm as the Client that I have read, considered and understood the Equitrade FX terms and conditions in their entirety, and that these Terms govern all dealings between me the Client and Equitrade FX, that I am acting on my own account and not on behalf of any other person.
- I confirm that the information supplied to Equitrade FX is truthful and accurate.
- I confirm that Equitrade FX may use my personal information, for obtaining, processing and disclosing personal information relating to me in accordance with the Data Protection Act 1998, e.g. to comply with the current money laundering regulation.
- I acknowledge and agree that Equitrade FX is permitted to carry out electronic database searches and search credit reference agencies and similar in order to verify the identity and credit rating of the Client. If such searches are carried out, Equitrade FX may keep records of the contents and results of such searches in accordance with all current and applicable laws.
- I acknowledge that dealing instructions are recorded. Once a deal has been agreed it cannot be cancelled and is subject to our cancellation policy.

I HAVE UNDERSTOOD AND EXPRESSLY CONSENT TO THE POINTS RAISED IN THIS DECLARATION AND DISCLOSURE STATEMENT.

Signature

Date

ALL APPLICATIONS MUST BE ACCOMPANIED BY SUPPORTING DOCUMENTS (AS ABOVE) THEN SEND YOUR COMPLETED APPLICATION AND IDENTIFICATION TO: EQUITRADE CAPITAL LTD AT 271 HAGLEY ROAD, EDGBASTON, B16 9NB OR FOR FASTER PROCESSING SCAN AND SEND TO ACCOUNTOPENING@EQUITRADEFX.CO.UK